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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(d))

Docket No.
12251-US

In Re Application Of: **Luc Ouellet et al.**

Serial No.
09/973,778

Filing Date
October 11, 2001

Examiner
John M. Hoffman

Group Art Unit
1731

Title: **METHOD OF REDUCING STRESS-INDUCED MECHANICAL PROBLEMS IN OPTICAL COMPONENTS**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

1. The Information Disclosure Statement submitted herewith is being filed after a Final Action under Section 1.113, or a Notice of Allowance under Section 1.311, whichever occurs first, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is a certification as specified in 37 CFR 1.97(e).
2. Applicant hereby petitions for consideration of the Information Disclosure Statement submitted herewith. The Petition Fee specified in 37 CFR 1.17(i) is to be paid as follows:

- ☐ A check in the amount of _____ is attached.
- ☒ Charge Deposit Account No. **13-1717** in the amount of **\$180.00**
- A duplicate of this sheet is attached.

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Dated: **July 22, 2004**

Signature

George M. MacGregor
37,547

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
12251-US

In Re Application Of: **Luc Ouellet et al.**

Serial No.
09/973,778

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John M. Hoffman

Group Art Unit
1731

Invention: **METHOD OF REDUCING STRESS-INDUCED MECHANICAL PROBLEMS IN OPTICAL COMPONENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature

Dated: **July 22, 2004**

George M. MacGregor
37,547

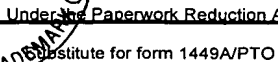
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Sheet 1 of 2

Application Number	09/973,778
Filing Date	11 October 2001
First Named Inventor	Luc OUELLET
Art Unit	1731
Examiner Name	John M. Hoffmann
Attorney Docket Number	12251-US

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 96/41219	12-19-1996			
		WO 02/43130 A2	05-30-2002			
		WO 02 43119 A2	05-30-2002			

Date
Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/973,778
Filing Date	11 October 2001
First Named Inventor	Luc OUELLET
Art Unit	1731
Examiner Name	John M. Hoffmann
Attorney Docket Number	12251-US

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		D.A.P. BULLA, et al., "Deposition of thick TEOS PECVD silicon oxide layers for integrated optical waveguide applications", Thin Solid Films, Vol. 334, 1998, pages 60-64.	
		M.I. ALAYO, et al., "Thick SiOxNy and SiO2 films obtained by PECVD technique at low temperatures", Thin Solid Films, Vol. 332, 1998, pages 40-45.	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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